



DECLARATION FOR PATENT APPLICATION

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship is as stated below next to my name.

I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled **FAMILY OF TRANSCRIPTIONAL CO-REPRESSORS THAT INTERACT WITH NUCLEAR HORMONE RECEPTORS AND USES THEREFOR**, which is a C-I-P of 08/522,726, filed on September 1, 1995, the specification of which

_____ is attached hereto.

X was filed on March 10, 2000 (Attorney Docket No.: SALK1510-3)
as U.S. Application Serial No. 09/522,753
and was amended on _____
if applicable (the "Application").

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability of the subject matter of the Application as defined in Title 37, Code of Federal Regulations ("C.F.R."), § 1.56.

With respect to the Application, I hereby claim the benefit under 35 U.S.C. Section 119(e) of any United States provisional application(s) listed below:

(Application Serial No.)

(Filing Date)

With respect to the Application, I hereby claim the benefit under 35 U.S.C. Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of the application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability of the subject matter of the Application as defined in Title 37, C.F.R., Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of the Application:

<u>08/522,726</u> (Application Serial No.)	<u>09/01/95</u> (Filing Date)	<u>pending</u> (Status) (patented, pending, abandoned)
<u> </u> (Application Serial No.)	<u> </u> (Filing Date)	<u> </u> (Status) (patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first inventor: Ronald M. Evans

Inventor's signature: _____

Date: _____

Residence: La Jolla, California

Citizenship: USA

Post Office Address: 1471 Cottontail Lane
La Jolla, California 92037

Full name of second inventor: J. Don Chen

Inventor's signature: _____

Date: _____

Residence: San Diego, California

Citizenship: Taiwan

Post Office Address: 7548 Charmant Drive, #1416
San Diego, California 92126

Full name of third inventor: Peter Ordentlich

Inventor's signature: 

Date: 5/22/00

Residence: Solana Beach, California

Citizenship: USA

Post Office Address: 669 South Nardo Avenue
Solana Beach, California 92075

Full name of fourth inventor: Michael R. Downes

Inventor's signature: _____

Date: _____

Residence: San Diego, California

Citizenship: Australia

Post Office Address: 3440 Lebon Drive, Apt #4210
San Diego, California 92122

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DECLARATION FOR PATENT APPLICATION

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(Filing Date)

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<u>08/522,726</u> (Application Serial No.)	<u>09/01/95</u> (Filing Date)	<u>pending</u> (Status) (patented, pending, abandoned)
<u> </u> (Application Serial No.)	<u> </u> (Filing Date)	<u> </u> (Status) (patented, pending, abandoned)

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Full name of first inventor: Ronald M. Evans

Inventor's signature: 

Date: 5/23/00

Residence: La Jolla, California

Citizenship: USA

Post Office Address: 1471 Cottontail Lane
La Jolla, California 92037

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Full name of second inventor: J. Don Chen

Inventor's signature: _____

Date: _____

Residence: San Diego, California

Citizenship: Taiwan

Post Office Address: 7548 Charmant Drive, #1416
San Diego, California 92126

Full name of third inventor: Peter Ordentlich

Inventor's signature: _____

Date: _____

Residence: Solana Beach, California

Citizenship: USA

Post Office Address: 669 South Nardo Avenue
Solana Beach, California 92075

Full name of fourth inventor: Michael R. Downes

Inventor's signature: m. Downes.

Date: 5/23/00

Residence: San Diego, California

Citizenship: Australia

Post Office Address: 3440 Lebon Drive, Apt #4210
San Diego, California 92122

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PATENT
Attorney Docket No.: SALK1510-3

In re Application of:)
Evans et al)
Application No.: unassigned)
Filed: March 10, 2000)
For: A FAMILY OF TRANSCRIPTIONAL)
CO-REPRESSORS THAT INTERACT)
WITH NUCLEAR HORMONE)
RECEPTORS AND USES THEREFOR)

Assistant Commissioner for Patents
Washington, DC 20231

POWER OF ATTORNEY BY ASSIGNEE

As a below-named assignee of the above-identified application ("Application"):

I hereby appoint the following attorneys of the assignee to prosecute the
Application and to transact all business in the United States Patent and Trademark Office
connected therewith:

JOSEPH R. BAKER
TIM ELLIS
LISA A. HAILE
WILLIAM N. HULSEY III,
RICHARD J. IMBRA
SHEILA R. KIRSCHENBAUM
JUNE M. LEARN
TIMOTHY W. LOHSE
TERRANCE A. MEADOR
JOHN OSKOREP
STEPHEN E. REITER
STEVEN R. SPRINKLE
RAMSEY R. STEWART
DAVID R. STEVENS
BARRY N. YOUNG

Registration No. 40,900
Registration No. 41,734
Registration No. 38,347
Registration No. 33,402
Registration No. 37,643
Registration No. 44,835
Registration No. 31,238
Registration No. 35,255
Registration No. 30,298
Registration No. 41,234
Registration No. 31,192
Registration No. 40,825
Registration No. 38,322
Registration No. 38,626
Registration No. 27,744

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In re Application of:
Evans et al.
Application No.: unassigned
Filed: March 10, 2000
Page 2

PATENT
Attorney Docket No.: SALK1510-3

**I hereby authorize and request insertion of the application number of the
Application when officially known.**

Direct all telephone calls to:

STEPHEN E. REITER
Telephone: (858) 677-1409

Address all correspondence to:

STEPHEN E. REITER
GRAY CARY WARE & FREIDENRICH LLP
4365 Executive Drive, Suite 1600
San Diego, CA 92121

THE SALK INSTITUTE OF BIOLOGICAL STUDIES is a non-profit organization of the
State of California.

By: _____

Name: _____

Thomas E. Jurgensen, Esq.
Title: Vice President of Intellectual property and Technology Transfer

Date: _____

3/22/00

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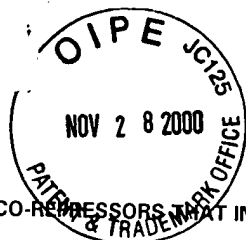
Attorney Docket No.: SALK1510-3

Applicant or Patentee: Evans et al.

Serial No. or Patent No.:

Filed or Issued: March 10, 2000

Title: A FAMILY OF TRANSCRIPTIONAL CO-REPRESSORS THAT INTERACT WITH NUCLEAR HORMONE RECEPTORS AND USES THEREOF



#5

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 C.F.R. §§1.9(f) and 1.27(d) - NONPROFIT ORGANIZATION)

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION: THE SALK INSTITUTE FOR BIOLOGICAL STUDIES
ADDRESS OF ORGANIZATION: 10010 North Torrey Pines Road
La Jolla, California 92037

TYPE OF ORGANIZATION

- ☐ University or other Institution of Higher Education
☒ Tax Exempt under Internal Revenue Service Code (26 U.S.C. §§501(a) and 501(c) (3))
☐ Nonprofit Scientific or Educational under Statute of State of the United States of America (Name of State _____) (Citation of Statute _____)
☐ Would qualify as tax exempt under Internal Revenue Service Code (26 U.S.C. §§501(a) and 501(c) (3)) if located in the United States of America
☐ Would qualify as nonprofit Scientific or Educational under Statute of State of the United States of America if located in the United States of America (Name of State _____) (Citation of Statute _____)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 C.F.R. §1.9(e) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, with regard to the invention entitled A FAMILY OF TRANSCRIPTIONAL CO-REPRESSORS THAT INTERACT WITH NUCLEAR HORMONE RECEPTORS AND USES THEREFOR by inventor(s) Ronald M. Evans, J. Don Chen and Peter Ordentlich as described in

- ☐ the specification filed herewith
☒ application Serial No. 09/522,753 filed March 10, 2000
☐ Patent No. _____ issued _____

I hereby declare and request insertion of the serial number of the application when officially known.

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above-identified invention.

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 C.F.R. §1.9(d) or by any concern which would not qualify as a small business concern under 37 C.F.R. §1.9(d) or a nonprofit organization under 37 C.F.R. §1.9(e).

NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities (37 C.F.R. §1.27).

Full Name _____
Address _____
☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization
Full Name _____
Address _____
☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 C.F.R. §1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING
TITLE IN ORGANIZATION
ADDRESS OF PERSON SIGNING

Thomas E. Jurgensen, Esq.
Vice President of Intellectual Property and Technology Transfer
10010 North Torrey Pines Road, La Jolla, CA 92037

SIGNATURE

DATE 3/22/00